RegTech Association of Hong Kong



Applications

All applications for membership of the association are available via email or postal mail. A person or an organization may become a member of the association only if,

- 1. person or organization has completed an application for membership in a form approved by the directors; and
- 2. the board has approved the application; and
- 3. the subscription fees have been paid and cleared.

You will be notified within fourteen (14) days, if your membership is granted or rejected. About your personal information, please refer to our privacy policy for more information (https://www.rtahk.org/privacy-policy).

Period of membership

The membership year is from January 1st through December 31st. Fees are halved if membership starts after July 1st.

A member who pays new subscription fees before 31st December 2020 can enjoy membership privileges and restrictions immediately until 31st December 2021.

Renewal of membership

A person or an origination may renew its membership only if: -

- a) That person or organization has paid the renewal fee; and
- b) The directors have approved the renewal.

If any other amount is payable by a member and remains unpaid after the expiration of a period of one month from the due date payment, the Committee shall, on behalf of the board, give written notice to such member to the effect that, if any such subscription fees or other amount is not paid in full within such period (not being less than 7 days) as may be prescribed by the terms of such notice, the member may be expelled from the association.

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Membership types

Startup	Corporate	Corporate Sponsor		Platinum Patron	
Company	Member				
Founder-led RegTech startup with less than 50 full-time employees.	A corporate- level Membership to represent the company.	For company who wishes to build a brand and align itself with the association and the full-year calendar events.		For a company who wishes to increases its profile and add value to its membership by supporting the association at the highest level.	
Up to 5 individuals attached to membership bundle	Up to 10 Individuals attached to membership bundle	atto	ached to membership	Up atto	to 50 individuals ached to membership ndle
		A A A	Priority access, complimentary seating or other benefits at select key events Invitations to participate in speaking/panel opportunities Invitations to VIP forums Corporate listing on RTAHK's website	A A A A A	Priority access, complimentary seating or other benefits at select key events Invitations to participate in speaking/panel opportunities Invitations to VIP forums Corporate listing on RTAHK's website Year-long branding through the RTAHK's program Proposal for the considerations of Membership Committee publication opportunities
\$2,500	\$25,000		\$100,000		\$250,000
	Company Founder-led RegTech startup with less than 50 full-time employees. Up to 5 individuals attached to membership bundle access, complir ir benefits at sele	Founder-led RegTech startup with less than 50 represent the company. Up to 5 Up to 10 Individuals attached to membership bundle access, complimentary seating r benefits at select key events	Founder-led A corporate-level buil startup with less than 50 represent the company. Up to 5 Up to 10 Individuals attached to membership bundle access, complimentary seating or benefits at select key events Member For buil itse buil itse and company. Eventually buil itse and company. Eventual	Founder-led RegTech startup with less than 50 represent the company. employees. Up to 5 Up to 10 individuals attached to membership bundle access, complimentary seating or other benefits at select key events Priority access, complimentary seating or other benefits at select key events Priority access, complimentary seating or other benefits at select key events Priority access, complimentary seating or other benefits at select key events Priority access, complimentary seating or other benefits at select key events Priority access, complimentary seating or other benefits at select key events Invitations to participate in speaking/panel opportunities Invitations to VIP forums Corporate listing on RTAHK's website	Founder-led RegTech level startup with Membership to less than 50 represent the employees. Up to 5 Up to 10 individuals attached to membership bundle access, complimentary seating or other benefits at select key events Priority access, complimentary seating or other benefits at select key events Priority access, complimentary seating or other benefits at select key events Priority access, complimentary seating or other benefits at select key events Invitations to participate in speaking/panel opportunities Invitations to VIP forums Corporate listing on RTAHK's website

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Voting Membership

A member admitted as an Individual Member, Startup Company, Corporate Member, Corporate Sponsor or Platinum Patron in accordance with these Articles shall not cease to be a member of the relevant category of membership solely by virtue of any amendment by the directors of the criteria for admission to such category.

Non-Voting Member means an Honorary Advisor, Affiliate Member or any member of the Association not entitled to vote or participate in the management of the affairs of the Association, of such class of membership as the Directors may from time to time prescribe;

Voting Member means, an Individual Member, Startup Company, Corporate Member, Corporate Sponsor or a Platinum Patron.

Individual Member shall be an individual person who is admitted to such class of membership in accordance with the criteria applicable to such category as are prescribed by the directors from time to time.

Startup Company shall be any corporation, firm or business which is admitted to such category of membership in accordance with the criteria applicable to such category as are prescribed by the directors from time to time.

A Startup Company shall have the right to nominate at any time one individual person as its nominee by giving written notice to the Committee. Such nominee of a Startup Company, with the approval of the Board of Directors, shall be regarded by RTAHK as the Corporate Nominee of that Startup Company.

Corporate Member shall be any corporation, firm or business which is admitted to such category of Membership in accordance with the criteria applicable to such category as are prescribed by the Directors from time to time.

A Corporate Member shall have the right to nominate at any time one individual person as its nominee by giving written notice to the Committee. Such nominee of a Corporate Member, with the approval of the Board of Directors, shall be regarded by RTAHK as the Corporate Nominee of that Corporate Member.

Corporate Sponsor shall be any corporation, firm or business which is admitted to such category of Membership in accordance with the criteria applicable to such category as are prescribed by the Directors from time to time.

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A Corporate Sponsor shall have the right to nominate at any time one individual person as its nominee by giving written notice to the Committee. Such nominee of a Corporate Sponsor, with the approval of the Board of Directors, shall be regarded by RTAHK as the Corporate Nominee of that Corporate Sponsor.

Platinum Patron shall be any corporation, firm or business which is admitted to such category of Membership in accordance with the criteria applicable to such category as are prescribed by the Directors from time to time.

A Platinum Patron shall have the right to nominate at any time one individual person as its nominee by giving written notice to the Committee. Such nominee of a Platinum Patron, with the approval of the Board of Directors, shall be regarded by RTAHK as the Corporate Nominee of that Platinum Patron.

Honorary Advisors

- 1. The Board of Directors shall have the power of issuing invitations to those who, in the Board's opinion, are worthy of such distinction by reason of their position to become an Honorary Advisor.
- 2. An Honorary Advisor shall be entitled to:
- a) subject to paragraph (3), enjoy all the privileges of being an Individual Member;
- b) and an exemption from the requirement to pay subscription fees.
- 3. An Honorary Advisor shall not have any right to vote or participate in the management of the affairs of the Association.

Affiliate Members shall be an organization, company, institute or university, which is admitted to such category of Membership in accordance with the criteria applicable to such category as are prescribed by the Directors from time to time.

Number of votes per member

- A Corporate Nominee attended on behalf of the relevant organization and an Individual Member shall be entitled to cast one (1) vote for each balloting at general meetings, provided, however, that no Voting Member whose accounts are in arrears or who has been suspended shall be entitled to vote. A Voting Member shall be considered in arrears when his name is posted.
- 2. Every proxy present who has been duly appointed by a Voting Member shall have the vote(s) of the Voting Member.

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- 3. A Non-Voting Member is a member who does not or ceases to be qualified, to be a Voting Member. A Non-Voting member is not eligible to vote at general meetings.
- 4. Each Voting and Non-Voting Membership shall be for such duration, subject to such terms and conditions (including subscription fees and categorization of membership) as the directors shall in its absolute discretion determine from time to time.

Enquiries and contacts

For enquiries please contact <u>members@rtahk.org</u>